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CMS: LiDAR Biomass Improved for High Biomass Forests, Sonoma County, CA, USA, 2013

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Summary

This data set provides estimates of above-ground woody biomass and uncertainty at 30-m spatial resolution for Sonoma County, California, USA, for the nominal year 2013. Biomass estimates, megagrams of biomass per hectare (Mg/ha), were generated using a combination of airborne LiDAR data and field plot measurements with a parametric modeling approach. The relationship between field estimated and airborne LiDAR estimated aboveground biomass density is represented as a parametric model that predicts biomass as a function of canopy cover and 50th percentile and 90th percentile LiDAR heights at a 30-m resolution. To estimate uncertainty, the biomass model was re-fit 1,000 times through a sampling of the variance-covariance matrix of the fitted parametric model. This produced 1,000 estimates of biomass per pixel. The 5th and 95th percentiles, and the standard deviation of these pixel biomass estimates, were calculated.

This dataset provides an updated aboveground woody biomass density map that builds upon the previously archived dataset Dubayah et al. (2017) (https://doi.org/10.3334/ORNLDAAC/1523). Differences between the two products are described.

There are four data files in GeoTIFF (*.tif) provided with this dataset.



Figure 1. Estimated aboveground biomass (Mg/ha) for Sonoma County at 30-m spatial resolution with the 5th-95th percentile range and the standard deviation (SD) of per-pixel biomass estimates shown in the top left and bottom left, respectively.

Citation

Duncanson, L., R.O. Dubayah, J. Armston, M. Liang, A. Arthur, and D. Minor. 2020. CMS: LiDAR Biomass Improved for High Biomass Forests, Sonoma County, CA, USA, 2013. ORNL DAAC, Oak Ridge, Tennessee, USA. https://doi.org/10.3334/ORNLDAAC/1764

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1. Dataset Overview

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Project: Carbon Monitoring System

The NASA Carbon Monitoring System (CMS) is designed to make significant contributions in characterizing, quantifying, understanding, and predicting the evolution of global carbon sources and sinks through improved monitoring of carbon stocks and fluxes. The System will use the full range of NASA satellite observations and modeling/analysis capabilities to establish the accuracy, quantitative uncertainties, and utility of products for supporting national and international policy, regulatory, and management activities. CMS will maintain a global emphasis while providing finer scale regional information, utilizing space-based and surface-based data and will rapidly initiate generation and distribution of products both for user evaluation and to inform near-term policy development and planning.

Related Datasets:

Dubayah, R.O., A. Swatantran, W. Huang, L. Duncanson, H. Tang, K. Johnson, J.O. Dunne, and G.C. Hurtt. 2017. CMS: LiDAR-derived Biomass, Canopy Height and Cover, Sonoma County, California, 2013. ORNL DAAC, Oak Ridge, Tennessee, USA. https://doi.org/10.3334/ORNLDAAC/1523

• This previously archived dataset used the same LiDAR data and many of the same field plot data as the current, but a different modeling approach to derive biomass for Sonoma County. Differences from the new dataset are described below. This dataset will remain available because users may find strengths or weaknesses in either approach and may choose a product to meet their needs.

Cook, B., A. Swatantran, L. Duncanson, A. Armstrong, N. Pinto, R. Nelson. 2014. CMS: LiDAR-derived Estimates of Aboveground Biomass at Four Forested Sites, USA. ORNL DAAC, Oak Ridge, Tennessee, USA. https://doi.org/10.3334/ORNLDAAC/1257

Dubayah, R.O., A. Swatantran, W. Huang, L. Duncanson, K. Johnson, H. Tang, J.O. Dunne, and G.C. Hurtt. 2016. CMS: LiDAR-derived Aboveground Biomass, Canopy Height and Cover for Maryland, 2011. ORNL DAAC, Oak Ridge, Tennessee, USA. https://doi.org/10.3334/ORNLDAAC/1320

Acknowledgements:

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2. Data Characteristics

Spatial Coverage: Sonoma County, California, USA

Spatial Resolution: Grid cells at 30-meter resolution

Temporal Coverage: The data set has no explicit temporal component. Data are nominally for the year 2013.

Temporal Resolution: One time

Study Area (All latitude and longitude given in decimal degrees)

Site	Westernmost	Easternmost	Northernmost	Southernmost
	Longitude	Longitude	Latitude	Latitude
Sonoma County, California	-123.542	-122.339	38.86417	38.10167

Data File Information

There are four data files in GeoTIFF (*.tif) provided with this dataset.

Filename	Description
sonoma_biomass_pred.tif	Predicted 30-m woody aboveground biomass (Mg/ha) for Sonoma County at 30-m spatial resolution
	5th percentile of estimated biomass per pixel (Mg/ha).

sonoma_biomass_5pctI.tif	Derived by re-fitting biomass model 1,000 times through a sampling of the variance-covariance matrix of fitted parametric model and calculating the 5th percentile for each pixel over the 1,000 estimates.
sonoma_biomass_95pctl.tif	95th percentile of estimated biomass per pixel (Mg/ha). Derived by re-fitting biomass model 1,000 times through a sampling of the variance-covariance matrix of fitted parametric model and calculating the 95th percentile for each pixel over the 1,000 estimates.
sonoma_biomass_stddev.tif	Standard deviation of estimated biomass per pixel (Mg/ha). Derived by re-fitting biomass model 1,000 times through a sampling of the variance-covariance matrix of fitted parametric model and calculating the standard deviation for each pixel over the 1,000 estimates.

Spatial Data Properties

All files have the same coordinate reference system.

EPSG: 26910 (NAD83 / UTM zone 10N)

Missing data value: -3.40E+38

3. Application and Derivation

These data represent a reference biomass map for spaceborne biomass product evaluation. For this particular project the reference map was for assessment and inter-comparison of simulated GEDI, ICESat-2 and NISAR (Duncanson et al., 2020), but this product can serve as a reference for any spaceborne biomass product that is temporally coincident with the 2013 lidar acquisition. Note that since 2013 significant fire activity has occurred, and thus caution is recommended when using this product for comparison to circa 2020 biomass products e.g. from GEDI and/or ICESat-2.

4. Quality Assessment

The current dataset is an updated biomass product based upon a previously archived dataset by Dubayah et al. (2017) (https://doi.org/10.3334/ORNLDAAC/1523). This section briefly describes the differences between the old and new biomass estimates. Text and figures courtesy of the authors.

Note that the Dubayah et al. (2017) dataset will remain available because users may find strengths or weaknesses in either approach and may choose a product to meet their needs.

Old and New Biomass Maps Comparison

Both data products started with the same wall-to-wall LiDAR airborne data collected over Sonoma County in the fall of 2013 using Leica ALS50 and ALS70 instruments giving coverage over the whole county at 14 points m^{-2} (Dubayah et al., 2013).

Dubayah et al. (2017) reported a 30-m biomass map for all of Sonoma County (Dubayah et al., 2013) derived using a random forest model and calibrated with 166 field plots collected at a random sample of locations stratified by land cover type (Duncanson et al., 2017; Huang et al., 2017). Later analyses determined there was an underestimation of biomass in tall, high biomass forests across the County. The reasons for these underestimates were two-fold; first, the stratified random sample of the field biomass estimates did not capture the range and variability in some of Sonoma's tallest forests, and second, the random forest algorithm employed constrained estimates to the highest biomass field plot (517 Mg/ha).

In the current dataset, a new 30-m biomass map is provided (Figure 1) that was generated using 30 additional plots sampled in high biomass redwood forests across the county and used a parametric modeling approach to estimate biomass (Figure 2).



Fig 2. The model between field estimated and airborne LiDAR estimated Aboveground Biomass Density is a parametric model predicting biomass as a function of %Canopy Cover, 50th percentile and 90th percentile LiDAR heights at a 30-m resolution.

The differences between the old and new biomass estimates show that most of the change in these maps is in the high biomass, tall forests, where the new map provides larger estimates (Fig 3, Fig 4). This was expected, both because of the inclusion of the new plots and the adoption of the parametric model that does not constrain the higher biomass estimates.



Fig 3. A histogram showing the differences between the new and old biomass map.



Fig 4. The difference between the previous Dubayah et al. (2017) random forest-based biomass map and this updated map is presented, with areas in blue representing where the new map provides higher estimates of biomass and orange/red showing where the new map provides lower estimates of biomass.

New Biomass Map Uncertainty Estimates

To estimate uncertainty, the biomass model was re-fit 1,000 times through a sampling of the variance-covariance matrix of the fitted parametric model. This produced 1,000 estimates of biomass per pixel. The 5th and 95th percentile, as well as the standard deviation of these pixel estimates, were calculated. Note that error was not propagated from field estimations (neither measurement error nor allometric error).

5. Data Acquisition, Materials, and Methods

This dataset provides an updated airborne LiDAR aboveground woody biomass density map at a 30-m resolution building upon the previously archive dataset Dubayah et al. (2017) (https://doi.org/10.3334/ORNLDAAC/1523).

The previous map underestimated biomass in tall, high biomass forests across the County. See the Quality Assessment section for a discussion of underestimations and the differences between the two map products.

Current Dataset Processing

The LiDAR data used are the same as used in Dubayah et al. (2017). Field plot data included the 166 field plots from Dubayah et al. (2017) and 30 new field reference plots that were randomly sampled in tall (>30 m) forests across the County.

The relationship between field estimated and airborne LiDAR estimated aboveground biomass density is a parametric model that predicts biomass as a function of %Canopy Cover (Dubayah et al., 2017), and 50th percentile and 90th percentile LiDAR heights at a 30-m resolution. See Figure 2.

To estimate uncertainty, the biomass model was re-fit 1,000 times through a sampling of the variance-covariance matrix of the fitted parametric model. This produced 1,000 estimates of biomass per pixel. The 5th and 95th percentile, as well as the standard deviation of these pixel estimates, were calculated. Note that error was not propagated from field estimations (neither measurement error nor allometric error).

A comparison between the revised and original maps shows the largest differences occur in the tallest forests, where the new map produces higher estimates than the original map.

Methods Background Information

Field Plots

The 166 field sample plots were located and selected through stratified sampling of land cover strata defined by the Classification Assessment with LANDSAT of Visible Ecological Groupings (CALVEG) land cover product (evergreen, deciduous, shrub, mixed and nonforest) and LiDAR canopy heights (low: 0 - 5 m, medium: 5 - 25 m and high: > 25 m). Tree measurements of diameter at breast height were recorded in each plot. Allometric estimates of AGB (Mg ha-1) were calculated for each tree using equations from FIA's Component Ratio Method (Heath et al, 2008; Woodall et al., 2011) and appropriate blow up factors were applied to estimate biomass density for the variable radius plots. From Dubayah et al., 2017.

The <u>30 new field reference plots</u> that were randomly sampled in tall (>30 m) forests across the County. Tree measurements and allometric biomass estimates were as noted above. All field data will be provided in a forthcoming dataset.

LiDAR Data

LiDAR data (~8 points/ sq.m.) were acquired over Sonoma County by Watershed Sciences Inc (WSI) in September – November of 2013 covering ~440,000 ha (44 flights). Airborne discrete return LiDAR instrument - Leica ALS70 sensor was mounted on a Cessna Grand Caravan. These data are available from several public sources. The LiDAR data were processed and classified to generate bare earth DEMs and Canopy Height Models for aboveground biomass estimation. From Dubayah et al., 2017.

Tree Canopy Height and Cover

The tree canopy cover map was created using an object-based, data-fusion approach (LiDAR and high-resolution National Agriculture Imagery Program (NAIP) images), and then aggregated to 30-m by averaging. The canopy height map was generated using LiDAR-derived normalized digital surface model (ndsm) and tree cover map, and then aggregated to 30-m by maximum (Huang et al., 2017). From Dubayah et al., 2017.

6. Data Access

These data are available through the Oak Ridge National Laboratory (ORNL) Distributed Active Archive Center (DAAC).

CMS: LiDAR Biomass Improved for High Biomass Forests, Sonoma County, CA, USA, 2013

Contact for Data Center Access Information:

- E-mail: uso@daac.ornl.gov
- Telephone: +1 (865) 241-3952

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