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ATom: Cloud and Coarse Aerosol Measurements from CAPS Instrument, 2016-2018

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Summary

This dataset contains cloud type and coarse aerosol contents measured by the University of Vienna's second-generation Cloud Aerosol and Precipitation Spectrometer (CAPS) instrument mounted to the NASA DC-8 aircraft during the ATom 1-4 campaigns that occurred from 2016 - 2018. CAPS measures particle size distributions in a size range between nominally 0.5 micrometers and 960 micrometers. The size range between approximately 0.5 and 50 micrometers is covered by the optical particle counter component of CAPS - the Cloud and Aerosol Spectrometer with Depolarization Detection (CAS-DPOL). The size range from 15 to 930 micrometers is measured with the optical array probe called Cloud imaging Probe (CIP). Cloud types are determined using an algorithm developed to detect and classify clouds using measurements of CAPS. Relative humidity and temperature are considered by the algorithm. The cloud indicator provides a classification on a 1 Hz basis and separates data in cloud-free, aerosol-cloud transition regime (ACTR), liquid clouds, clouds in the mixed-phase temperature regime (MPTR), and cirrus clouds. The coarse aerosol product provides cloud and aerosol particle number concentrations at standard pressure (1013.25 hPa) and standard temperature (273.15 K) in selected size ranges. Particle sizes refer to ammonium sulfate optical equivalent diameter ($m=1.52 + 0.0i$).

This dataset includes 96 data files in ICARTT (*.ict) format.



Figure 1: The CAPS instrument attached to the exterior of the NASA DC-8 aircraft.

Citation

Weinzierl, B.B., and M. Dollner. 2021. ATom: Cloud and Coarse Aerosol Measurements from CAPS Instrument, 2016-2018. ORNL DAAC, Oak Ridge, Tennessee, USA. <https://doi.org/10.3334/ORNLDAAC/1981>

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1. Dataset Overview

This dataset contains cloud type and coarse aerosol contents measured by the University of Vienna's second-generation Cloud Aerosol and Precipitation Spectrometer (CAPS) instrument mounted to the NASA DC-8 aircraft during the ATom 1-4 campaigns that occurred from 2016 - 2018. CAPS measures particle size distributions in a size range between nominally 0.5 micrometers and 960 micrometers. The size range between approximately 0.5 and 50 micrometers is covered by the optical particle counter component of CAPS - the Cloud and Aerosol Spectrometer with Depolarization Detection (CAS-DPOL). The size range from 15 to 930 micrometers is measured with the optical array probe called Cloud imaging Probe (CIP). Cloud types are determined using an algorithm developed to detect and classify clouds using measurements of CAPS. Relative humidity and temperature are considered by the algorithm. The cloud indicator provides a classification on a 1 Hz basis and separates data in cloud-free, aerosol-cloud transition regime (ACTR), liquid clouds, clouds in the mixed-phase temperature regime (MPTR), and cirrus clouds. The coarse aerosol product provides cloud and aerosol particle number concentrations at standard pressure (1013.25 hPa) and standard temperature (273.15 K) in selected size ranges. Particle sizes refer to

ammonium sulfate equivalent diameter ($m=1.52 + 0.0i$).

Project: Atmospheric Tomography Mission (ATom)

The Atmospheric Tomography Mission (ATom) was a NASA Earth Venture Suborbital-2 mission. It studied the impact of human-produced air pollution on greenhouse gases and on chemically reactive gases in the atmosphere. ATom deployed an extensive gas and aerosol payload on the NASA DC-8 aircraft for systematic, global-scale sampling of the atmosphere, profiling continuously from 0.2 to 12 km altitude. Flights occurred in each of four seasons over a 4-year period.

Related Publications:

Dollner, M., J. Gasteiger, M. Schöberl, T.P. Bui, G. Diskin, and B. Weinzierl. 2022. Automatic detection and classification of clouds with airborne in-situ observations, *in preparation*.

Related Data:

Wofsy, S.C., S. Afshar, H.M. Allen, E.C. Apel, E.C. Asher, B. Barletta, J. Bent, H. Bian, B.C. Biggs, D.R. Blake, N. Blake, I. Bourgeois, C.A. Brock, W.H. Brune, J.W. Budney, T.P. Bui, A. Butler, P. Campuzano-Jost, C.S. Chang, M. Chin, R. Commane, G. Correa, J.D. Crouse, P. D. Cullis, B.C. Daube, D.A. Day, J.M. Dean-Day, J.E. Dibb, J.P. DiGangi, G.S. Diskin, M. Dollner, J.W. Elkins, F. Erdesz, A.M. Fiore, C.M. Flynn, K.D. Froyd, D.W. Gesler, S.R. Hall, T.F. Hanisco, R.A. Hannun, A.J. Hills, E.J. Hints, A. Hoffman, R.S. Hornbrook, L.G. Huey, S. Hughes, J.L. Jimenez, B.J. Johnson, J.M. Katich, R.F. Keeling, M.J. Kim, A. Kupc, L.R. Lait, K. McKain, R.J. McLaughlin, S. Meinardi, D.O. Miller, S.A. Montzka, F.L. Moore, E.J. Morgan, D.M. Murphy, L.T. Murray, B.A. Nault, J.A. Neuman, P.A. Newman, J.M. Nicely, X. Pan, W. Paplawsky, J. Peischl, M.J. Prather, D.J. Price, E.A. Ray, J.M. Reeves, M. Richardson, A.W. Rollins, K.H. Rosenlof, T.B. Ryerson, E. Scheuer, G.P. Schill, J.C. Schroder, J.P. Schwarz, J.M. St.Clair, S.D. Steenrod, B.B. Stephens, S.A. Strode, C. Sweeney, D. Tanner, A.P. Teng, A.B. Thames, C.R. Thompson, K. Ullmann, P.R. Veres, N.L. Wagner, A. Watt, R. Weber, B.B. Weinzierl, P.O. Wennberg, C.J. Williamson, J.C. Wilson, G.M. Wolfe, C.T. Woods, L.H. Zeng, and N. Vieznor. 2021. ATom: Merged Atmospheric Chemistry, Trace Gases, and Aerosols, Version 2. ORNL DAAC, Oak Ridge, Tennessee, USA.
<https://doi.org/10.3334/ORNLDAAC/1925>.

- Data from all ATom instruments and all four flight campaigns, including aircraft location and navigation data, merged to several different time bases

Wofsy, S.C., and ATom Science Team. 2018. ATom: Aircraft Flight Track and Navigational Data. ORNL DAAC, Oak Ridge, Tennessee, USA.
<https://doi.org/10.3334/ORNLDAAC/1613>

- Flight path (location and altitude) data for each of the four campaigns provided in KML and csv format

2. Data Characteristics

Spatial Coverage: Global. Flights circumnavigate the globe, primarily over the oceans

Spatial Resolution: Point measurements

Temporal Coverage: Periodic flights occurred during each campaign

Table 1. Flight campaign schedule

Campaign	Date Range
ATom-1	July 29 - August 23, 2016
ATom-2	January 26 - February 21, 2017
ATom-3	September 28 - October 28, 2017
ATom-4	April 24 - May 21, 2018

Temporal Resolution: 1 second

Data File Information

This dataset includes 96 data files in ICARTT (*.ict) format. Data files conform to the [ICARTT File Format Standards V1.1](#).

File names are structured as *Cloudindicator_DC8_YYYYMMDD_R#.ict* or *NCoarseAerosol_DC8_YYYYMMDD_R#.ict*, where **YYYYMMDD** is the start date (in UTC time) of the flight and **R#** is the file version or revision number.

Cloudindicator files contain cloud presence and type data, and *NCoarseAerosol* files contain coarse aerosol fractions.

Table 2. Variable descriptions for Cloudindicator files

Variable	Units	Description
Time.UTC.CAPS	Seconds	Seconds since 0000 UTC
cloudindicator.CAPS	-	0 = cloud-free, 1 = aerosol-cloud transition regime (ACTR), 2 = liquid cloud, 3 = cloud in the mixed-phase temperature regime, 4 = cirrus cloud. To mask data inside clouds, use cloudindicator values from 2 to 4.

Table 3. Variable descriptions for NCoarseAerosol files

Variable	Units	Description
Time.UTC.CAPS	Seconds	Seconds since 0000 UTC
Nacc.CAPS	Particles / cm ³ at STP	Particle number concentrations (N) of aerosol and cloud particles in size range 0.57 to 1.0 μm at standard temperature and pressure (STP).
Ncoa1.CAPS	Particles / cm ³ at STP	Particle number concentrations (N) of aerosol and cloud particles in size range 1.0 to 10.0 μm at standard temperature and pressure (STP).
Ncoa2.CAPS	Particles / cm ³ at STP	Particle number concentrations (N) of aerosol and cloud particles in size range 10.0 to 30.0 μm at standard temperature and pressure (STP).

Ncoa3_CAPS	Particles / cm ³ at STP	Particle number concentrations (N) of aerosol and cloud particles in size range 30.0 to 50.0 μm at standard temperature and pressure (STP).
Ntot_CAPS	Particles / cm ³ at STP	Total particle number concentrations (N) of aerosol and cloud particles in the CAS-DPOL size range 0.57 to 50.0 μm at standard temperature and pressure (STP) conditions.
STP_Factor_CAPS	1	Factor used to convert data measured at ambient temperature and pressure to standard temperature and pressure of 273.15 K and 1013.25 hPa.

3. Application and Derivation

ATom builds the scientific foundation for mitigation of short-lived climate forcers, in particular methane (CH₄), tropospheric ozone (O₃), and Black Carbon aerosols (BC).

ATom Science Questions

Tier 1

- What are chemical processes that control the short-lived climate forcing agents CH₄, O₃, and BC in the atmosphere? How is the chemical reactivity of the atmosphere on a global scale affected by anthropogenic emissions? How can we improve chemistry-climate modeling of these processes?

Tier 2

- Over large, remote regions, what are the distributions of BC and other aerosols important as short-lived climate forcers? What are the sources of new particles? How rapidly do aerosols grow to CCN-active sizes? How well are these processes represented in models?
- What type of variability and spatial gradients occur over remote ocean regions for greenhouse gases (GHGs) and ozone depleting substances (ODSs)? How do the variations among air parcels help identify anthropogenic influences on photochemical reactivity, validate satellite data for these gases, and refine knowledge of sources and sinks?

Significance

ATom delivers unique data and analysis to address the Science Mission Directorate objectives of acquiring "datasets that identify and characterize important phenomena in the changing Earth system" and "measurements that address weaknesses in current Earth system models leading to improvement in modeling capabilities." ATom will provide unprecedented challenges to the CCMs used as policy tools for climate change assessments, with comprehensive data on atmospheric chemical reactivity at global scales, and will work closely with modeling teams to translate ATom data to better, more reliable CCMs. ATom provides extraordinary validation data for remote sensing.

4. Quality Assessment

Please contact the authors for information on uncertainty assessments.

5. Data Acquisition, Materials, and Methods

Project Overview

ATom makes global-scale measurements of the chemistry of the atmosphere using the NASA DC-8 aircraft. Flights span the Pacific and Atlantic Oceans, nearly pole-to-pole, in continuous profiling mode, covering remote regions that receive long-range inputs of pollution from expanding industrial economies. The payload has proven instruments for in situ measurements of reactive and long-lived gases, diagnostic chemical tracers, and aerosol size, number, and composition, plus spectrally resolved solar radiation and meteorological parameters.

Combining distributions of aerosols and reactive gases with long-lived greenhouse gases (GHG) and ozone depleting substances (ODS) enables disentangling of the processes that regulate atmospheric chemistry: emissions, transport, cloud processes, and chemical transformations. ATom analyzes measurements using customized modeling tools to derive daily averaged chemical rates for key atmospheric processes and to critically evaluate CCMs. ATom also differentiates between hypotheses for the formation and growth of aerosols over the remote oceans.

University of Vienna's Cloud Aerosol and Precipitation Spectrometer (CAPS)

University of Vienna's second-generation Cloud Aerosol and Precipitation Spectrometer (CAPS) measures aerosol and cloud particle concentrations in the size range between nominally 0.5 and 930 μm . For the size range between 15 and 960 μm images of aerosol and cloud particles are recorded. Additional measurements of liquid water content, relative humidity, temperature, pressure and airspeed are recorded with corresponding sensors.

The CAPS consists of two main components: the Cloud Imaging Probe (CIP) and the Cloud and Aerosol Spectrometer with Depolarization Detection (CAS-DPOL).

The CIP operates in the size range between 15 and 960 μm . Particles passing through a collimated laser beam create shadow images onto a linear array of 64 photo diodes. Each diode represents a one pixel of the image leading to a resolution of 15 μm . One recording of the state of the 64 photo diodes is a so-called "slice". The complete image of a particle is reconstructed from individual "slices", which are recorded with a rate proportional to the relative speed of the particle (Spanu et al., 2020).

The CAS measures particles in the size range between nominally 0.5 and 50 μm . It utilizes the principle of light-scattering. Particles scatter the light from an incident laser into a forward-sizing photodetector, recording the light intensity, which is used to infer particles size. There is also a backscatter optics collecting the backscattered light of the particles.

The CAPS measurements are the primary inputs for a the "cloudindicator" algorithm developed at the University of Vienna to detect and classify clouds (Dollner et al., in prep). In addition to CAPS data, measurements of relative humidity from DLH (Diskin) and temperature MMS (Bui) are used in this algorithm. The cloudindicator product classifies the dataset into 5 classes: cloud-free, Aerosol-Cloud Transition Regime (ACTR), clouds in the Mixed-Phase Temperature Regime (MPTR), and cirrus clouds. The complete criteria for the detection and classification can be found in (Dollner et al., in preparation).

Additional information can be found at: <https://aerosols.univie.ac.at/instrumentation/>

6. Data Access

These data are available through the Oak Ridge National Laboratory (ORNL) Distributed Active Archive Center (DAAC).

[ATom: Cloud and Coarse Aerosol Measurements from CAPS Instrument, 2016-2018](#)

Contact for Data Center Access Information:

- E-mail: uso@daac.ornl.gov
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7. References

Dollner, M., J. Gasteiger, M. Schöberl, T.P. Bui, G. Diskin, and B. Weinzierl. 2022. Automatic detection and classification of clouds with airborne in-situ observations, *in preparation*.

Spanu, A., M. Dollner, J. Gasteiger, T.P. Bui, and B. Weinzierl. 2020. Flow-induced errors in airborne in situ measurements of aerosols and clouds. *Atmospheric Measurement Techniques* 13:1963–1987. <https://doi.org/10.5194/amt-13-1963-2020>.



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