

1. Dataset Overview

This data set provides level 1 (L1) polarimetric radar backscattering coefficient (σ_0), multi-look complex, polarimetrically calibrated, and georeferenced data products from the Airborne Microwave Observatory of Subcanopy and Subsurface (AirMOSS) radar instrument collected over 10 study sites across Northern Alaska, USA. Flight campaigns took place in August 2014, October 2014, April 2015, August 2015, September 2015, and October 2015. The acquired L1 P-band radar backscatter data will be used to derive estimates of soil water content and permafrost state at the study sites.

The AirMOSS radar is a P-band (UHF) fully polarimetric synthetic aperture radar (SAR) currently operating in the 420-440 MHz band designed to measure root-zone soil moisture (RZSM) and is flown on a NASA Gulfstream-III aircraft. The L1 products were derived for each data take (acquisition) of the AirMOSS radar instrument, where one data take is one flight line over a site. There were usually four data takes performed on a visit to a site (on some occasions as few as one or as many as six were taken).

Project: [Arctic-Boreal Vulnerability Experiment](#)

The Arctic-Boreal Vulnerability Experiment (ABOVE) is a NASA Terrestrial Ecology Program field campaign based in Alaska and western Canada between 2016 and 2021. Research for ABOVE links field-based, process-level studies with geospatial data products derived from airborne and satellite sensors, providing a foundation for improving the analysis and modeling capabilities needed to understand and predict ecosystem responses and societal implications.

Information and data from other AirMOSS campaigns can be found at <https://airmoss.ornl.gov>.

Related Data:

Chen, R.H., A. Tabatabaenejad, and M. Moghaddam. 2019. ABOVE: Active Layer and Soil Moisture Properties from AirMOSS P-band SAR in Alaska. ORNL DAAC, Oak Ridge, Tennessee, USA. <https://doi.org/10.3334/ORNLDAAC/1657>

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2. Data Characteristics

Spatial Coverage: Alaska

ABOVE Reference Locations:

Domain: Core ABOVE

State/territory: Alaska

Grid cells: Ah0v0Bh3Bv2Ch0Cv0, Ah0v0Bh4Bv2Ch0Cv0, Ah0v0Bh5Bv2Ch0Cv0, Ah0v0Bh4Bv1Ch0Cv0, Ah0v0Bh3Bv1Ch0Cv0, Ah0v0Bh4Bv3Ch0Cv0, Ah0v0Bh5Bv3Ch0Cv0, Ah1v0Bh6Bv2Ch0Cv0, Ah1v0Bh7Bv2Ch0Cv0, Ah1v0Bh8Bv2Ch0Cv0, Ah1v0Bh9Bv2Ch0Cv0, Ah1v0Bh7Bv1Ch0Cv0, Ah1v0Bh8Bv1Ch0Cv0, Ah1v0Bh7Bv3Ch0Cv0, Ah1v0Bh8Bv3Ch0Cv0, Ah1v0Bh6Bv4Ch0Cv0, Ah1v0Bh7Bv4Ch0Cv0

Spatial Resolution: Data are provided at two different resolutions: 0.5 arcseconds (about 15 meters) and 3.0 arcseconds (about 90 meters).

Temporal Coverage: Periodic flights occurred over Alaska from August 2014 - October 2015.

Temporal Resolution: Each flight campaign represents a single day.

Study Area (coordinates in decimal degrees)

Site	Westernmost Longitude	Easternmost Longitude	Northernmost Latitude	Southernmost Latitude
Alaska	-165.31576	-135.54465	71.48243	57.22465

Table 1. Study Site Summary. Coordinates represent the approximate boundaries of all flights over the site. See Figure 1 for a map.

Study Site	Site Abbreviation	Sampled in 2014	Sampled in 2015	North Latitude	South Latitude	East Longitude	West Longitude
Ambler (Brooks Range Foothills, south)	ambler	X	X	66.7	66.4	-161	-161.6
Atkasuk (North Slope)	atqasu	X	X	70.3	70	-159.8	-160.4
Barrow (North Slope)	barrow	X	X	70.9	70.6	-158.2	-158.9
Coldfoot (Brooks Range Foothills, south)	coldfo	-	X	66.9	66.7	-152.8	-153.4
Council (Seward Peninsula)	council/seward	X	X	65	64.8	-166.2	-166.7
Deadhorse (North Slope)	dhorse	X	X	69.8	69.5	-151	-151.6
Huslia (Interior Alaska)	huslia	-	X	65.6	65.4	-156.7	-157.2
Ivotuk (Brooks Range Foothills, north)	ivotuk	X	X	68.5	68.2	-157.9	-158.5
Kougarok (Seward Peninsula)	kougar	X	X	65.7	65.4	-163.7	-164.2

Koyuk (Interior Alaska)	koyukk	X	X	65.1	64.8	-162.4	-162.9
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Data File Information

There are a total of 2616 data files included in this dataset, divided into 65 directories, with one directory for each data take. Each directory contains ~40 individual data products. Note that data are provided at two resolutions, 0.5 arcseconds (about 15 meters) and 3.0 arcseconds (about 90 meters), and the resolution is denoted in each file name.

Naming Conventions – see Name Element Table (Table 2) below for definitions of specific elements.

Directories

The directory names follow this convention:

`ssssss_LLLLL_FFFF_CCC_YYMMDD_PL090ffww_XX_01`

Example directory names:

`permaf_34505_15142_008_151001_PL09043020_XX_01`

`alaska_3502L_15141_002_150930_PL09043020_XX_01`

File Names

File names for types (.ann, .h5, .hgt, .inc, .jpg, .kmz, .png, and .slope) follow this convention. See File Extension Table (Table 4) below. All elements are the same as the directory, except for the addition of “_gg” for spatial resolution.

`ssssss_LLLLL_FFFF_CCC_YYMMDD_PL090ffww_gg_XX_vv.ext`

Example file name: `alaska_13047_15123_005_150828_PL09043020_05_XX_01.ann`

File names of the multi-looked complex cross products (.mcl) and georeferenced data files (.grd) follow this convention. See File Extension Table (Table 4) below. All elements are the same as file name above, except for the addition of *pppp*, a 4-character abbreviation for the cross product.

`ssssss_LLLLL_FFFF_CCC_YYMMDD_PL090ffww_ggpppp_XX_vv.ext`

Example file name: `alaska_13047_15123_005_150828_PL09043020_05HVVV_XX_01.mlc`

Table 2. Name Element Definitions for directory and file naming conventions.

Name Element	Short Name	Example Values	Short Description	AirMOSS Description
ssssss	Site name	alaska	The Alaskan AirMOSS sites (alaska or permaf)	6-character sitename assigned to the site where data was acquired. All files are either permf or alaska
LLLLL	Flight line ID	34505	Flight line is based on aircraft orientation. There may be multiple flights on different dates that followed the same flight line.	5-character flight line ID, where the first 3 characters are the aircraft heading in integer degrees, range 000-359; and the last 2 characters are an alphanumeric counter chosen to ensure uniqueness of the flight line ID.
FFFFF	Flight ID	15124	The first 2 digits are the last 2 digits of the year and the next 3 digits are a numeric counter.	5-digit flight ID, where the first 2 digits are the last 2 digits of the year of the AirMOSS flight and the next 3 digits a numeric counter chosen to ensure uniqueness of the flight ID.
CCC	Data take counter	8	Data take counter for this flight	3-digit data take counter for this flight. The allowed characters for this field are digits 0-9. A first digit of 0 indicates that the data take was collected in automatic mode, while a first digit of 1 indicates that it was collected in manual mode. Usually, the data take counter starts at 000 for the first science data take of a flight and increments by 1 for each successive science data take. Since the radar system may reinitialize the counter if a system reset occurs during flight, the data take counter may not be unique for a flight.
YYMMDD	Flight date	151001	UTC date at start of flight (yymmdd)	UTC date at the start of the data take, with YY being the last two digits of the year, MM the month of the year (01-12), and DD the day of the month (01-31)
PL090ffww	Radar codes	PL09043020	Radar codes are the same for all directories and files.	See Radar Naming Convention Table (Table 3) below for specific elements
gg	Spatial resolution	30	Grid spatial resolution	2-digit grid spacing in integer units of 0.1 arcseconds ("). The allowed values are 05 for 0.5" and 30 for 3.0".
pppp	Cross product abbreviation	HHHV	4-character abbreviation for the cross product.	The abbreviation is formed from the subscripts of the two factors of each cross product read from left to right. For example, the abbreviation for the second cross product, $S_{HH}S^*_{HV}$, is HHHV. The allowed values for this field are thus HHHH, HHHV, HHVV, HVHV, HVVV, and VVVV.
XX	Crosstalk	XX	Crosstalk removal status	2-character crosstalk removal status. The allowed values are CX when crosstalk has been removed and XX

	removal status			when crosstalk has not been removed. All standard AirMOSS science data products have this field set to XX.
vv	Version number	1	Incremental version number	2-digit product version number starting at 01. The version number is incremented by 1 when a product is redelivered.

Table 3. Radar Code Naming Conventions. Radar codes are the same for all directories and files and follow this convention: `_PL090fffww_`. Example value: `PL09043020`

Name Element	Short Name	Example Values	AirMOSS Description
P	Radar band	P	Radar band, 1 character; fixed value of P for P-band for AirMOSS
L	Left looking radar	L	1 character indicating whether radar is Left-looking or Right-looking relative to direction of flight; fixed value of L for AirMOSS.
90	Squint angle	90	Squint angle in integer degrees; fixed value of 090 for AirMOSS
fff	Chirp frequency	430	3-digit chirp center frequency in integer MHz, with allowed values in (280, 440). All standard AirMOSS science data products have this field set to 430.
ww	Chirp bandwidth	20	2-digit chirp bandwidth in integer MHz, with allowed values in [06, 80]. All standard AirMOSS science data products have this field set to 20.

Table 4. List of data product files (file extensions) in each directory. See Section 5 below for details.

File extension	Number of files per flight data take directory	Description
.ann	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	Annotation files contain metadata for this product, including filenames, file dimensions, grid coordinates, and radar processing parameters.
.grd	12 files, 6 for the 0.5 arcsecond grid spacing and 6 for 3.0 arcsecond.	Georeferenced data (GRD) files are generated by projecting the (slant range) SLC data to ground range using the backward projection method.
.h5	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	The HDF5 files contain copies of the ground-range product layers described above, specifically the Georeferenced data (.grd), Digital Elevation Map (.hgt), Terrain slope (.slope), and Incidence angle (.inc).
.hgt	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	Digital elevation map files contain the terrain height values that were used to produce the GRD data in the same geographic coordinates as those files.
.inc	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	The incidence angle files contain the local incidence angle at each point in the GRD files.
.jpg	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	Browse thumbnails in .jpg format produced from the .png files.
.kmz	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	The KMZ files contain the GRD data rendered as color images. The KMZ files are compressed Keyhole Markup Language files for display in Google Earth.
.mlc	12 files, 6 for the 0.5 arcsecond grid spacing and 6 for 3.0 arcsecond.	Multi-looked complex (MLC) cross products.
.png	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	The PNG files contain the images from the KMZ files in PNG file format.
.slope	2 files, one for the 0.5 arcsecond grid spacing and one for 3.0 arcsecond.	The terrain slope files contain the derivatives of the DEM files in the East and North direction.

3. Application and Derivation

The Level 1 Sigma-0 data generated by the AirMOSS radar instrument were used to generate other, higher-level, data products.

4. Quality Assessment

These Level 1 data are provided with no QA information. Extensive quality checks were performed on the higher-level products derived from this data.

5. Data Acquisition, Materials, and Methods

The AirMOSS aircraft carried an ultra-high frequency synthetic aperture radar that has the capability to penetrate through substantial vegetation canopies and soil to depths down to approximately 1.2 meters (see <https://airmoss.ornl.gov/documentation.html>). A Level-1 S0 ("sigma-0") polarimetric product consists of the following set of files that are generated from a single data take (data collection or acquisition) of the AirMOSS radar instrument. Several of the file descriptions below specify dependencies upon the number of chirp waveforms used for a data take. While the AirMOSS radar is capable of using one, two, or three chirp waveforms during a single data take, all AirMOSS data takes that were acquired for standard science data processing were collected using a single chirp waveform having a center frequency of 430 MHz and a (10-dB) bandwidth of 20 MHz.

Many of the AirMOSS Level-1 S0 product layers are the same as the ones produced for the L-band UAVSAR airborne radar polarimetric products. Much of the material in this section has been adapted from the online UAVSAR product documentation at: <http://uavsar.jpl.nasa.gov/science/documents/polsar-format.html>.

1. Annotation Files

The annotation files contain the metadata for this product, including filenames, file dimensions, grid coordinates, and radar processing parameters. The annotation files do not conform to any of the standard metadata file formats but use a simple "keyword = value" ASCII text file format, with a maximum of one keyword per line. Each line is terminated by a carriage return (newline). Unit specifications, if present, are placed within a single pair of parentheses to the left of the equals sign. At least one space should separate the right parenthesis of the unit specification and the equals sign.

Most of the Level-1 S0 product layers are output at grid spacings of 0.5" (arcseconds, about 15 meters) and 3.0" (about 90 meters). Two annotation files are generated for each chirp waveform used during the data take, one for the 0.5" files and the other for the 3.0" files.

2. Single-Look Complex (SLC) Data

Because of the large data volume and low demand for this layer, the SLC data is not provided here.

3. Multi-Looked Complex (MLC) Cross Products

The multi-looked complex (MLC) cross products are generated by first calculating the following six cross products from each set of four SLC files:

$$\begin{aligned} S_{HH}S_{HH}^* \\ S_{HH}S_{HV}^* \\ S_{HH}S_{VV}^* \\ S_{HV}S_{HV}^* \\ S_{HV}S_{VV}^* \\ S_{VV}S_{VV}^* \end{aligned}$$

where S_{HV}' is the symmetrized cross-polarization, defined as

$$S_{HV}' = 0.5(S_{HV} + mS_{VH} e^{i\phi})$$

$$m = \text{cross-polarization magnitude correction} = \sqrt{\sum S_{HV}^2 / \sum S_{VH}^2}$$

$$\phi = \text{cross-polarization phase correction} = \text{phase}(\sum S_{HV}S_{VH}^*)$$

and where each summation has been performed over all samples in the respective SLC file(s).

Each cross product is then multi-looked (averaged) in both the range and the azimuth dimensions. The cross products are output at grid spacings of approximately 0.5" and 3.0", with separate files written for each combination of cross product and grid spacing. Twelve MLC files are thus produced for each chirp waveform used during the data take.

Each MLC file is a pure binary file with a fixed record length, no header or trailer records, and no prefix or suffix data within each record. The six files produced for a given chirp waveform and grid spacing have the same record length. For the $S_{HH}S_{HH}^*$, $S_{HV}S_{HV}^*$, and $S_{VV}S_{VV}^*$ cross products, each sample within a record is a 4-byte real single-precision floating-point number with little-endian byte ordering and units of linear, not dB, radar power. For the $S_{HH}S_{HV}^*$, $S_{HH}S_{VV}^*$, and $S_{HV}S_{VV}^*$ cross products, each sample within a record is an 8-byte complex single-precision floating-point number with little-endian byte ordering and units of linear, not dB, radar power.

The projection of the data is the natural slant range projection. Samples within a record are ordered by increasing range. Records are ordered by increasing azimuth.

The following keywords in the annotation file can be used to determine the geographic location of the MLC files:

set_plat Peg latitude

set_plon Peg longitude

set_phdg Peg heading

mlc_mag.row_addr Along-track offset to upper left pixel

mlc_mag.col_addr Cross-track offset to upper left pixel

The following keywords in the annotation file give the number of looks used to generate the MLC files:

Number of Range Looks in MLC

Number of Azimuth Looks in MLC

4. Georeferenced Data (GRD)

The georeferenced data (GRD) files are generated by projecting the (slant range) SLC data to ground range using the backward projection method. An equiangular grid is defined with latitude and longitude boundaries that cover the entire slant range image. For each point on the ground range grid, the corresponding location is calculated within the SLC image. The data value closest to this location is assigned to the point on the ground range grid. One GRD file is produced for each of the six cross product formats defined for the MLC files.

Each GRD file has the same sample data type (complex or real) as that of the corresponding MLC cross product. Each sample has units of linear, not dB, radar power. Samples within a record are ordered by increasing longitude (i.e., west to east). Records within a file are ordered by descending latitude (i.e., north to south).

The following keywords in the annotation file can be used to determine the geographic location of the GRD files:

grd_mag.set_rows	Number of records in GRD file
grd_mag.set_cols	Number of samples per record
grd_mag.row_mult	GRD Latitude Pixel Spacing
grd_mag.col_mult	GRD Longitude Pixel Spacing
grd_mag.row_addr	Center Latitude of Upper Left Pixel of Image
grd_mag.col_addr	Center Longitude of Upper Left Pixel of Image

5. Digital Elevation Map (DEM)

The Digital Elevation Map (DEM) files contain the terrain height values that were used to produce the GRD data in the same geographic coordinates as those files. Two DEM files are generated for each chirp waveform used during the data take, one at 0.5" grid spacing and the other at 3.0" grid spacing. Each sample within a file is a 4-byte real single-precision floating-point number with little-endian byte ordering and units of meters. Each DEM file is co-registered to the GRD files having the same grid spacing.

The following keywords in the annotation file provide information about the source DEM used for processing:

DEM Used in Ground Projection

DEM Datum

DEM Source

DEM Original Pixel Spacing

6. Terrain Slope

The terrain slope files contain the derivatives of the DEM files in the East and North direction. Two terrain slope files are generated for each chirp waveform used during the data take, one at 0.5" grid spacing and the other at 3.0" grid spacing. Each sample within a file consists of two values, the first of which is the terrain slope in the east direction and the second value the slope in the north direction. Each slope value is a unitless 4-byte real single-precision floating-point number with little-endian byte ordering. Each terrain slope file is co-registered to the GRD files having the same grid spacing.

7. Incidence Angle

The incidence angle files contain the local incidence angle at each point in the GRD files.

In the figure below, the local incidence angle θ_i is the angle between the surface normal \hat{n} and the radar line of sight \hat{l} , specifically

$$\theta_i = \cos^{-1}(-\hat{n} \cdot \hat{l})$$

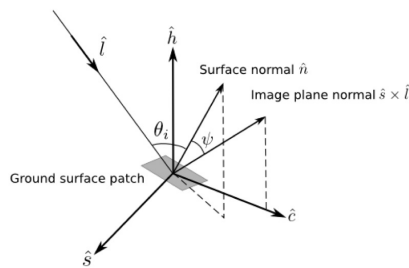


Figure 2: Geometry for calculation of local incidence angle

Two incidence angle files are generated for each chirp waveform used during the data take, one at 0.5" grid spacing and the other at 3.0" grid spacing. Each sample is a 4-byte real single-precision floating-point number with little-endian byte ordering and units of radians. Each incidence angle file is co-registered to the GRD files having the same grid spacing.

8. KMZ Files

The KMZ files contain the GRD data rendered as color images. The KMZ files are compressed Keyhole Markup Language files. These files can be displayed in Google Earth. The images use the following color mappings:

Red = $S_{HH}S^*_{HH}$

Green = $S_{HV}S^*_{HV}$

Blue = $S_{VV}S^*_{VV}$

Note that the color scaling of an image depends upon the dynamic range of the particular source dataset; no absolute color scale exists for the KMZ imagery.

Two KMZ files are generated for each chirp waveform used during the data take, one at 0.5" grid spacing and the other at 3.0" grid spacing.

9. PNG Files

The PNG files contain the images from the KMZ files in PNG file format. Two PNG files are generated for each chirp waveform used during the data take, one at 0.5" grid spacing and the other at 3.0" grid spacing.

10. HDF5 Files

The HDF5 files contain copies of the ground-range product layers described above, specifically the Georeferenced data, Digital Elevation Map, Terrain slope, and Incidence angle.

Two HDF5 files are generated for each chirp waveform used during the data take, one containing the 0.5" grid spacing files and the other the 3.0" grid spacing files.

6. Data Access

These data are available through the Oak Ridge National Laboratory (ORNL) Distributed Active Archive Center (DAAC).

Contact for Data Center Access Information:

- E-mail: uso@daac.ornl.gov
- Telephone: +1 (865) 241-3952

7. References

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